

Financial Conflict of Interest (FCOI)

Promoting Objectivity in Research for
Public Health Service (PHS)
Sponsored Funding

Investigators who plan to apply for, participate in, receive or are supported by, research funding from any of the Public Health Service Agencies* are required to disclose significant financial interests (and those of the Investigator's spouse and dependent children) related to the Investigator's institutional responsibilities, as follows:

Pre-Proposal Stage

A. NYC Form A - Grant Proposal: Pre-Proposal Clearance:

1. Certification of receipt and understanding of PHS-FCOI Regulation and NYC FCOI Policy
2. Agreement to complete FCOI Training prior to submission of grant application

Application Stage

B. NYC Form B - Grant Proposal: Final Proposal Review (include the following):

1. Certificate of Completion of FCOI Training
2. NYC Disclosure & Description of Financial Interests Forms

Award Stage

C. FCOI Training Updated and Completed:

1. At least every four years
2. Immediately, upon any revision to the NYC FCOI Policy
3. Immediately, when a funded Investigator is new to D'Youville College
4. Immediately, upon NYC President's Council determination that an Investigator is not in compliance with the NYC FCOI Policy or an active FCOI management plan

D. Disclosure & Description of Financial Interests Forms Updated and Completed:

1. Annually (at least) during the award period
2. Within 30 days of discovering or acquiring a new significant financial interest

*Public Health Service (PHS) Agencies/Operating Divisions:

- Administration for Children and Families (ACF)
- Administration for Community Living (ACL)
- Agency for Healthcare Research and Quality (AHRQ)
- Agency for Toxic Substances and Disease Registry (ATSDR)
- Centers for Disease Control and Prevention (CDC)
- Centers for Medicare and Medicaid Services (CMS)
- Food and Drug Administration (FDA)
- Health Resources and Services Administration (HRSA)
- Indian Health Service (IHS)
- National Institutes of Health (NIH)
- National Cancer Institute (NCI)
- Substance Abuse and Mental Health Services Administration (SAMHSA)